

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of
Monteleone et al.

For: System and Method for Trading

Docket No. 32715-2001/TAC

PRELIMINARY AMENDMENT

December 15, 2003

Commissioner for Patents
Mail Stop Preliminary Amendment
P.O. Box 1450
Alexandria, VA 22313-1450
U.S.A.

Sir :

The Applicant hereby requests that prior to first examination on the merits, the subject application be amended as follows:

Please change the title of the application from System and Method for Trading to **System and Method for Trading of Mortgage Backed Securities.**

Respectfully submitted,

TORYS LLP



Ted Chan, Reg. No. 46,609
Suite 3000, P.O. Box 270
79 Wellington Street West, TD Centre
Toronto, Ontario
M5K 1N2
Tel.: (416) 865-0040
Fax: (416) 865-7380